

# QUALIFYING BROKER SUPERVISORY PLAN

QUALIFYING BROKER			
ASSOCIATE BROKER		AB RENEWAL CYCLE	_____ to _____

## QUALIFYING BROKER'S EXPECTATION OF ASSOCIATE BROKER

- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

## ASSOCIATE BROKER'S EXPECTATION OF QUALIFYING BROKER

- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

## NMREC REQUIRED DOCUMENTS

- Independent Contractor Agreement:  Yes  No      Date of IC Agreement: \_\_\_\_\_
- Errors and Omissions Insurance:  Yes  No      Date of E & O Insurance: \_\_\_\_\_
- Other: \_\_\_\_\_

## EDUCATION AND TRAINING DEVELOPMENT TRACK – YEAR 1

- Course: \_\_\_\_\_ NMREC Course No. \_\_\_\_\_ Date: \_\_\_\_\_
- Course: \_\_\_\_\_ NMREC Course No. \_\_\_\_\_ Date: \_\_\_\_\_
- Course: \_\_\_\_\_ NMREC Course No. \_\_\_\_\_ Date: \_\_\_\_\_
- Other: \_\_\_\_\_ Date: \_\_\_\_\_

## EDUCATION AND TRAINING DEVELOPMENT TRACK – YEAR 2

- Course: \_\_\_\_\_ NMREC Course No. \_\_\_\_\_ Date: \_\_\_\_\_
- Course: \_\_\_\_\_ NMREC Course No. \_\_\_\_\_ Date: \_\_\_\_\_
- Course: \_\_\_\_\_ NMREC Course No. \_\_\_\_\_ Date: \_\_\_\_\_
- Other: \_\_\_\_\_ Date: \_\_\_\_\_

## EDUCATION AND TRAINING DEVELOPMENT TRACK – YEAR 3

- Course: \_\_\_\_\_ NMREC Course No. \_\_\_\_\_ Date: \_\_\_\_\_
- Course: \_\_\_\_\_ NMREC Course No. \_\_\_\_\_ Date: \_\_\_\_\_
- Course: \_\_\_\_\_ NMREC Course No. \_\_\_\_\_ Date: \_\_\_\_\_
- Other: \_\_\_\_\_ Date: \_\_\_\_\_

## ACKNOWLEDGEMENT

<b>AB SIGNATURE</b>		<b>QB Signature</b>	
Name		Name	
Date		Date	