

New Mexico Public Accountancy Board

Quarterly Newsletter

MESSAGE FROM THE BOARD CHAIRMAN

In this world of business today, we see chaos and turmoil. There are cases of fraud and improper--even illegal-- activities by businesses small and large. Cybercrime is high on the list of today's issues. As such, we need more certified public accountants to combat these ever increasing problems.

Certified public accountants have always fought any form of dishonesty and malfeasance. Programs are under way nationally and in New Mexico to increase the number of candidates in the pipeline. This is where you, the practicing CPA, come in. Young people today want to become, what they view, like their mentors or leaders. Encourage the young people you know to become CPAs. Their impression of your professional performance will influence them to become "like you." As the new President of NASBA has suggested, follow "the four B's:" "be trusted," and always perform with integrity; "be a community," and take part in what is happening in your community; "be relevant," and up to date on the latest standards and tools; and "be influential," and lead your part of the world. Make us all proud that you are a CPA and leader in your community.



C. Jack Emmons
Chairman

SOCIETY CEO JOHN A. CAREY ANNOUNCES RE- TIREMENT ON JUNE 30, 2017

John A. Carey, President & CEO of the New Mexico Society of CPAs, announces his retirement from the organization effective June 30, 2017.

The organization has formed a search committee and requests that all letters of interest, inquiries, and resumes be directed to:

Presidential Search Committee
New Mexico Society of CPAs
c/o Marissa Chavez
3400 Menaul Blvd. N. E.
Albuquerque, NM 87107
Marissa@nmscpa.org

*John,
The NMPAB wishes you a
fond farewell and a happy
and relaxing retirement. You
will definitely be missed.
- NMPAB*

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MEMBERS OF THE BOARD

- C. Jack Emmons, CPA, Chair
- Patrick Stewart, Vice Chair
- Maria Cassimus, CPA, Secretary
- Dennis Houston, CMA, Treasurer
- William Golden, CPA
- Farley Vener, CPA, CFE
- Benjamin Roybal ESQ

BOARD STAFF

- Jeanette Contreras
Executive Director
- Ernest Santucci
Board Investigator
- Jessica Chavez-Lance
Licensing Manager
- Natalie Frazier
Licensing Administrator
- Alexa Martinez
Administrative Assistant

CONTACT THE BOARD

5500 San Antonio Dr. NE
Albuquerque, NM 87109

505-222-9850

Accountancy.Board@state.nm.us

[www.rld.state.nm.us/boards/
accountancy.aspx](http://www.rld.state.nm.us/boards/accountancy.aspx)

A MESSAGE FROM THE NEW MEXICO SOCIETY OF CPA'S

As I reflect upon my tenure and impending retirement as president of the New Mexico Society of CPAs, I am proud of the excellent working relationship between the Society and the New Mexico Public Accountancy Board. Although the Society is an advocacy organization and the Board is a regulatory body, the two entities have forged a close working relationship on issues of mutual interest. The Society desires clear, consistent, and fair governance from the Board. The Board works to protect the public. On virtually all issues before the Board, the Society has played a vital role as an interested party and partner. The Society listens closely to concerns of the Board and the Board listens closely to concerns of the Society and its members.

Over the past several years, the Board has created task forces to review its rules and procedures. Members of these task forces have included Board members, Board staff, and members of the Society. These task forces have reviewed a wide range of policies and procedures including licensing issues, peer review issues, continuing education issues, residency issues, and other issues. I have served on a number of these task forces. In all cases, the Board and staff and members of the Society have been able to achieve consensus by respecting each other's viewpoints and perspective. The results have been more modern, clear, and efficient rules.

The Board and the Society jointly produce and manage semi-annual swearing-in ceremonies for newly licensed CPAs. The oath of office ceremony is a solemn and impressive event. The new licensees and their family members, guests, and employers are all proud to attend and participate in the ceremony and a reception that follows.

Over the past few months, the Board and Society have jointly produced and facilitated seminar sessions and events with accounting undergraduates at the University of New Mexico and New Mexico State University informing the students and faculty members of significant changes for the CPA exam effective in 2017.

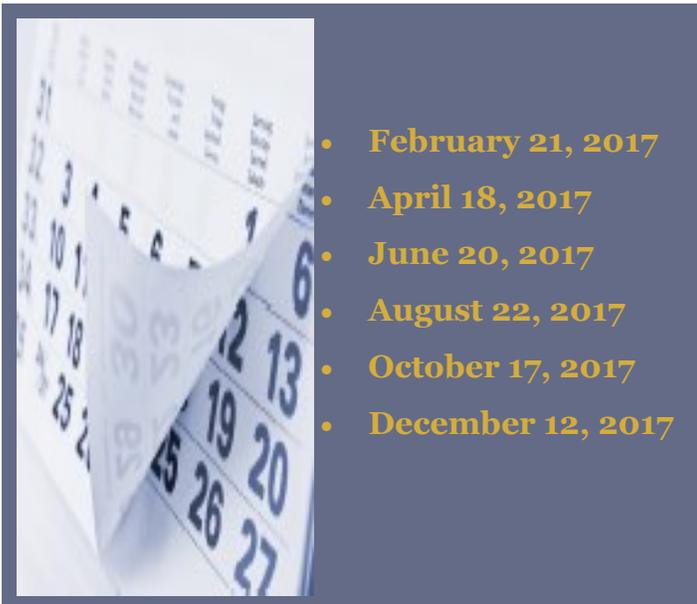
Both the Society and the Board desire to protect the CPA brand. State Societies and AICPA tell the world that the CPA is a "trusted advisor" to the client. The actions and goals of both bodies support this important imperative.

The Society has always encouraged its members to pay close attention to rules of the Board governing licensure and renewals, continuing education, and other requirements. In my observation over the past nine years, the Board has striven to be fair and reasonable in its enforcement of the Board rules. The vast majority of the state's CPA community does its best to abide by the rules.

The CPA profession is undergoing a significant generational and demographic metamorphosis. One out of every three currently licensed CPAs will be retired in ten years. New CPAs entering the profession will see new modes of training; new technology; new career opportunities; and a more global economic environment and client base.

The new and emerging trends in the profession are exciting and challenging, and the New Mexico Society of CPAs and the New Mexico Public Accountancy Board will continue to have many opportunities to work together in the new environment.





- February 21, 2017
- April 18, 2017
- June 20, 2017
- August 22, 2017
- October 17, 2017
- December 12, 2017

2017 BOARD MEETINGS

Board meetings are open to the public. All meetings are held at the Regulation and Licensing Department conference room, 5500 San Antonio Dr. NE, Albuquerque, NM 87109, unless otherwise noted. Under state law, a portion of the meetings is to be closed to the public during executive session.

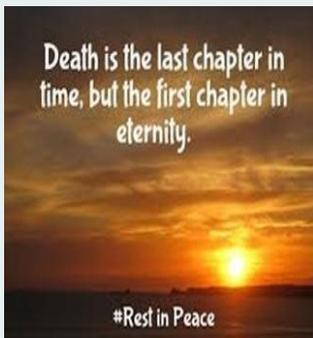
If you wish to address the Board regarding a specific issue, please contact Alexa Martinez at 222-9856 or by email at: Alexa.Martinez@state.nm.us at least two weeks prior to the scheduled meeting date. Issues must be placed on the agenda in order to be discussed.

Do you need CPE?

A CPA who attends our Board meetings can earn up to 4 CPE hours a year.



JUANITA MARIE ARAGON



THE BOARD REMEMBERS JUANITA MARIE ARAGON

BY ERNEST SANTUCCI

Born in Clovis, NM, Juanita “Marie” Aragon passed away unexpectedly on October 20, 2016. Mrs. Aragon retired from the Accountancy Board on December 31, 2014.

Most of us knew her simply as Marie. For 30 years Marie worked for the State of New Mexico in various departments, spending her last 12 years with the Accountancy Board as the Licensing Manager. She had also worked for the Public Defender’s Office, the Department of Health, the Gaming Control Board, the Human Services Department and others. Marie liked to share stories with those who worked with her about her being related to Billy the Kid and the *curandera*, Ultima. She was able to illustrate a relationship chart between them. Marie was a veteran of the US Army and told stories of her shooting at people who were trying to steal an army truck while she was on guard duty in Germany. She flattened a tire and other guards captured the would-be thieves.

Marie, as many can recall, was always, understanding, knowledgeable and helpful to the profession and coworkers. Her sudden loss saddened many, but Marie was never afraid of living life to the fullest. She was kind and gracious, and served at many CPA Swearing-in Ceremonies. Marie is survived by her husband, Dennis, parents, children, siblings and many friends and relatives. Services were held at Sacred Heart Catholic Church and interment at Mount Calvary Cemetery in Albuquerque, NM. Board and staff members were in attendance. Marie will truly be missed.

WHO GOT LICENSED?

Mary Jo Billiot 7107
Mark S. Cox 4258
Lon Freeman 7092
Paul Hedinger 7102
Michael Henry 7096
Jerrold Johnson 7097
Heather Logan 7101
Sarah Marley 7103
Andrea McGuire 7095
Preston Mitchell 7100
Eric Myre 7093
Ross Nettles 7106
Delia Rivera 7098
David Smith 7090
Adam Squire 7094
Erin Stevens 7099
Christine Walker 7104
Huan Yu 7105

The New Mexico Public
Accountancy Board
congratulates you all!

FIRM PERMITS ISSUED

ANDREW PIERI CPA, PC 10410
C&B CPAS, LLC 10414
CASEY NEILON INC. 10401
COLBY & POWELL, PLC 10407
CORDOVA CPAS, LLC 10409
FRAZIER & DEETER, LLC 10402
HAJNY & KIESEL, LLC 10406
KATIE LOLLAR, CPA 10415
KIRK & ASSOCIATES, PC 10408
MARK GERMER, CPA LLC 10404
MCCABE CPA GROUP, LLC 10413
MORTON ACCOUNTING SERVICES, LLC 10412
MOUNTAIN TOP ACCOUNTING 10405
SHELLEY V. KAISER, CPA, LLC 10411
T. WAYNE OWENS & ASSOCIATES, PC 10403

SWEARING IN CEREMONY

MAY 16, 2017 &
NOVEMBER 6, 2017
3:00 PM

Each year the NMPAB and the NMSCPA's hold two swearing in ceremonies, once in May and once in November. At these ceremonies the new CPA's of New Mexico are sworn in before the Board. Each licensee is required to attend the Swearing in Ceremony within one year from date of licensure.

At this ceremony the NMPAB also honors NM CPA's that have been active for 50 years.

Following the ceremony we invite all the licensees and guests to the front lobby for refreshments. This time together is also perfect for networking.

With each ceremony the NMPAB strives to make the ceremony better than the last. If you have suggestions in making the ceremony better, please contact us at:

Accountancy.Board@state.nm.us



If you see a co-worker, friend, or family member listed as a newly licensed CPA we invite you to join us for the ceremony.



UNM Continuing
Education Building
1634 University Blvd NE,
Albuquerque, NM 87131



TIPS FOR A SPEEDY & STRESS FREE LICENSE RENEWAL

- Renew online and email CPE report to: accountancy.board@state.nm.us
- Remember to sign your check and be sure the numerical amount matches the written amount.
- Foreigners be aware of the currency difference when renewing online.

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DID YOU KNOW?

If you are 70 years or over and are in “inactive” status you are not required to pay the \$130 renewal fee.

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WE WANT TO HEAR FROM YOU!

The New Mexico Public Accountancy Board strives to make our newsletters a useful resource for our licensees. Since this newsletter is for you, what types of articles would you like to see in upcoming newsletters? Please submit article ideas for consideration to accountancy.board@state.nm.us.

We look forward to hearing from you.

FIRM CORNER

By Jessica Chavez-Lance, Licensing Manager

We are approaching Firm Renewal time. I will be providing you with some tips to make it smooth and easy. Several items that cause a renewal to get returned are:

- Is your firm subject of a peer review? If yes, please attach your acceptance letter and reviews report. The Society does NOT forward these to us. This is the Firm’s sole responsibility. Are you currently under review? Send in a document from the reviewer or the society to certify your claim.
- Not subject to peer review? Answer the question “list the services your firm provides below”. Failure to do so will result in “return to sender” of the renewal application.

Jessica, I just wanted to tell you I appreciate your reminder emails, with tips. It is helpful in making sure I have all my ducks in a row’, on time.

— Jeff Parkes, CPA, Farmington, NM

CPA CORNER

By Natalie Frazier, Licensing Administrator



Greetings,

Well, we’re off to another busy year! By now I’m sure that everyone is knee deep in taxes. Even though this is the busy season for CPA’s, it’s important not forget about your annual renewal.

Below are two important tips to help you complete this important process. More importantly, these tips can save you money!

TIP #1

Getting Your Renewal Application In On Time

When mailing in your renewal application please ensure that you give enough time to avoid a late fee. It is important to note that our office only refers to the official US postmark when determining if a renewal application is late. This postmark is stamped on an article of mail by the post office when processing mail. Our office does not take into consideration the postage stamp date that is generated by a postage machine. A renewal application with an official postmark of the 1st or later than the reporting period will be considered late.

TIP #2

Submitting Your Renewal Online

Please remember that making a renewal payment online is not considered a complete application. An application is not complete until all of supporting documents have received by the Board. Be sure to upload your CPE’s or email your CPE report to accountancy.board@state.nm.us when renewing.

INVESTIGATIONS/ ENFORCEMENT CORNER

By Ernest Santucci, Board Investigator

We are seeing a nice reduction in Continuing Professional Education (CPE) violations which is a good sign that New Mexico CPAs are taking the importance of continuing education ever more seriously. Additionally, if you are in fact short on CPE, be truthful on your renewal application whether online or hard copy when it asks questions regarding meeting CPE requirements. False answers can result in additional penalties.

Take the time to review 16 NMAC 60.3.15. of the 1999 New Mexico Public Accountancy Act.



“If you think compliance is expensive— try non-compliance.”

- Former US Attorney General Paul McNulty

CANCELLED LICENSES

Alexander Yuen 1571	Thomas E. Johnson 5811
Edgar A. Amsden 1912	Carmen Kavelman 2520
Elizabeth J. Barreras 4705	Joseph L. Larock 2857
Charles M. Beauparlant 2758	Susan D. Lester 2600
Robert W. Bostwick 5718	Kimberly A. McNulty 4371
Jean Bovio 2876	Larry W. McQueary 3258
Gary O. Concannon (deceased) 2164	Jeffery W. McWhorter 5600
Debra Cox-Bothern 4923	Sylvia Owen 4348
Nicholas J. DeCandia 6124	Traci L. Rosazza 6659
Guzel Demir 6582	James Brent Shedden 6648
Dale W. Gerber 2476	John U. Uwajumogu 6397
Eduardo Alfonzo Gonzalez 5054	Ronald A. Villiotti 6470
William C. Heimerdinger 5459	Julie A. Wylie 2918
Gene Henderson (deceased) 1903	Debra D. Yoshimura 3033
Jesse Hewitt 4138	
Martha E. Hume 6814	
John E. Irick 2125	



CANCELLED FIRMS

Kiesling Associates LLP, CPAs
CF & Co., LLP

**NEW MEXICO PUBLIC
ACCOUNTANCY BOARD**

The purpose of the Public Accountancy Act [61-28B-1 to 61-28B-29 NMSA] is to protect the public interest by regulating the practice of public accountancy. The mission statement of the Public Accountancy Board is to administer the public accountancy statutes and rules with the highest degree of integrity, competence, and efficiency commensurate with the professional standards of certified public accountants and registered public accountants. This is done to ensure the reliability of financial information and to protect the economic welfare of the citizens of New Mexico.

OPPORTUNITY FOR FREE CPE

Taking into Account Elder Investment Fraud:
Diminished Capacity and the Aging Population

Presented by:

The New Mexico Securities Division

May 19, 2017

10am-12pm

New Mexico Regulation and Licensing

5500 San Antonio NE Albuquerque, NM 87109

REGISTRATION OPENS MARCH 20, 2017

Registration contact: Bernice Geiger (505)228-8505

Or email: Bernice.Geiger@state.nm.us



This course is accredited for 2 hours of ethics training
By the NM Public Accountancy Board

**In the near future the Board plans to send out CPA/ Firm renewals
via-email. To help us with this transition please complete this
form and mail/ email it to the Board. Thank you.**

Name (Last, First, MI) _____

Certificate Number _____

Daytime Telephone _____

Email Address _____

Mailing Address:

Signature _____

Mail to:

New Mexico Public Accountancy Board

5500 San Antonio Dr. NE, Suite A

Albuquerque, NM 87109

or email to:

accountancy.board@state.nm.us

Pursuant to 16 NMAC 60.5.11, "Each applicant, certificate, or firm permit holder and each person required to be registered with the board under the act shall notify the board, in writing, of any and all changes in such person's mailing address and the effective date thereof within 30 days before or after such effective date."