



**STATE OF NEW MEXICO
REGULATION AND LICENSING DEPARTMENT
SECURITIES DIVISION**

IN THE MATTER OF:)
)
Duke City Gladiators, Inc.) Case No. #15-03-0047
Matthew Caward,)
Crystal-Starr Caward,)
and John Caward)
Respondent(s))

**ORDER VACATING PRIOR CEASE AND DESIST ORDER AND NOTICE OF INTENT
TO REINSTATE AND PURSUE SANCTIONS UPON FUTURE NON-COMPLIANCE**

WHEREAS, the Director of the New Mexico Securities Division (the “Director”) issued an Order to Cease and Desist (the “Order”) on March 25, 2015 to the above-named Respondents;

WHEREAS, the Order set forth a factual basis causing the Director to believe that the Respondents were engaged in conduct violative of the New Mexico Uniform Securities Act (the “Act”);

WHEREAS, the Respondents met with representatives from the New Mexico Securities Division on March 27, 2015 in an effort to remedy the deficiencies noted in the Order;

WHEREAS, the Director recognizes Respondents’ good faith efforts to comply with the dictates of the Order;

WHEREAS, the Director finds that there is good cause to vacate the current Order provided that all deficiencies presently in conflict with the Act are resolved.

I. ORDER

1. Section 58-13C-604 of the Act empowers the Director to issue such orders and sanctions as the interest of justice and the protection of the public so require.

THEREFORE, IT IS HEREBY ORDERED THAT:

2. The Order to Cease and Desist issued on March 25, 2015 is vacated.

3. Respondents remain in compliance with the Act in all instances in which they or their agents solicit sponsorship contributions on the part of the public;
4. The Order may be reinstated upon a showing that Respondents have failed to adequately and wholly remedy the conduct at issue, or upon other good cause.

NOTICE OF ADMINSTRATIVE HEARING RIGHTS

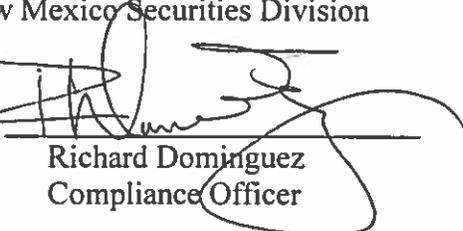
Respondent is hereby notified of his or her statutory right to request an administrative hearing on the Cease and Desist Order in the above referenced matter. Administrative hearings are governed by Section 58-13C-604(b)(4)-(11), NMSA 1978. Respondents have fifteen (15) days from receipt of this notice to file a written request for a hearing. The request may be sent in the form of U.S. Postal Service Form 3800, Receipt for Certified Mail, or via email to the Director at andrea.dean@state.nm.us. The Director will set the matter for hearing no more than sixty (60) days not less than fifteen (15) days from receipt of the hearing request. The Director will promptly notify the Respondents of the time and place for hearing. The Director or an appointee will conduct the hearing. The Director or his appointee will pass upon the admissibility of evidence and may exclude evidence that is incompetent, irrelevant, immaterial or unduly repetitious.

As discussed more fully in 58-13C-604(b), any Respondent requesting a hearing is entitled to: appear on its own behalf or may be represented by an attorney; present all relevant evidence; to examine all opposing witnesses who appear on any matter relevant to the issues; request and obtain discovery, including the names and addresses of witnesses.

ENTERED AT Santa Fe, New Mexico this 27 day of March, 2015.



Alan R. Wilson, Director
New Mexico Securities Division

By: 
Richard Dominguez
Compliance Officer