

**NM REGULATION AND LICENSING DEPARTMENT**

**ALCOHOL AND GAMING DIVISION**

**MAILING ADDRESS: PO BOX 25101 SANTA FE, NM 87504-5101**

**PHYSICAL ADDRESS: TONEY ANAYA BUILDING  
2550 CERRILLOS ROAD, 2<sup>ND</sup> FLOOR SANTA FE, NM**

**PH: (505) 476-4875 FAX: (505) 476-4595**

**WWW.RLD.STATE.NM.US/ALCOHOLANDGAMING**



**SMALL BREWER LIQUOR LICENSE  
(OFF-SITE LOCATION)  
APPLICATION**



## INSTRUCTIONS FOR SMALL BREWER LIQUOR LICENSE APPLICATION

1. The non-refundable application fee of \$200.00, and if applicable, the \$50.00 Resident Agent Fee, \$100.00 Sunday Package Sale Fee and \$100.00 Sunday Sale by the Drink Fee, must be enclosed or the application will be returned to you. **Keep a copy of the complete application packet for your records.**
2. **Checklist**, included in the packet to assist you in submitting all the required documentation.
3. **Appointment of Representative** – If the applicant is represented by an attorney, broker, CPA, etc., include a signed/dated letter, which authorizes the Division to disclose information and allows the appointee to speak/act on behalf of applicant.
4. Pages 1, 5, and 6 of the application must be signed and notarized.
5. Note that all supporting documentation submitted must be in the name of the **APPLICANT**. If the applicant is a Corporation, LLC, Partnership, or Trust, the required documentation such as Tax Registration Certificate, Proof of Tenancy (Lease/Deed), Bills of Sale, etc., **must** be in the name of that entity.
6. **Fingerprints:** Because the Liquor Control Act does not allow for a Convicted Felon to own or be an officer on a Liquor License, this Agency requires such persons to be fingerprinted to receive the background reports from the State and Federal level.

Fingerprints are required for the Applicant and each Principal Officer/Director/Resident Agent listed, **only** if they have never submitted fingerprints to this agency before, or if there has been an arrest record after date fingerprints were submitted, they'll need to register with Cogent online at [www.cogentid.com](http://www.cogentid.com) If fingerprints cannot be done by Livescan with Cogent, please contact AGD at (505) 476-4875 or consult AGD website for instructions.

### EXPLANATION OF REQUIRED DOCUMENTS:

#### PAGE 1 – APPLICATION

1. **On-Site Brewing Affidavit and Production Plan** – Submit a notarized statement that affirms that all brewing will remain on-site at the premises listed on the application.
2. **Tax Registration Certificate** – A copy of the New Mexico Tax Registration Certificate (CRS Identification Number) in the name of the Applicant (sole proprietor, Corporation, LLC etc.) issued by the New Mexico Taxation & Revenue Department. Applicants may obtain this documentation at any field office, call (505) 827-0700, or online.
3. **Federal Brewers Notice** – If you have obtained this already, submit a copy, otherwise, include a copy of your application with the approval to be submitted before final review.
4. **Approved Labels**, if applicable.
5. **Photos** – Include photos of premises, which include the Manufacturing Equipment, if applicable.
6. **Licensing Fee** – Small Brewer \$750.00, Off-Site Location \$200.00, which will only be accepted at Final Review, applicant will be notified when to submit this.

#### PAGE 2 – PREMISES, LOCATION AND DESCRIPTION

1. **Proof of Tenancy (Lease, Warranty Deed or Real Estate Contract)** – A complete copy of the fully executed Lease Agreement, Warranty Deed or Real Estate Contract, for the premise in the name of the Applicant.
  - a. The Lease Agreement must be signed by both parties (Lessor and Lessee). All Exhibits, Addendums to Lease Agreement, Amendments to Lease Agreement, or Subleases must accompany the Lease Agreement.
  - b. If Lease Agreement does not address Permitted Use of the sale, service and/or manufacturing of alcohol on the premises, you must submit an Addendum permitting this use.
  - c. The Warranty Deed must be a filed and recorded copy.
2. **Zoning Statement** – A copy of the Zoning Statement for the proposed premises, must be current/within one year of application date, issued by the Local Governing Body, on official letterhead. Contact your city or county clerk for contact information for the zoning office in your area. The Zoning Statement **must include each of the following:**
  - a. The complete physical address of the proposed establishment.
  - b. Zoning type (example: C-1, Commercial).
  - c. A Statement regarding Permitted Use for the type of liquor license being applied for. (example: On-premises consumption of alcohol is a permitted use within this zone; with or without Patio Service permitted; Manufacturing permitted use; Sale of packaged alcohol for off-premises consumption permitted use).

3. **Detailed Floor Plan with Photos** – A Floor Plan for the proposed premises, showing the entrances/exits, kitchen, storage, sale, service, and consumption areas. All areas must be completely labeled. Submit legible Plan, that may be hand-drawn or architect drawing, on an 8½ x 11” sheet of paper **for each floor**. Please DO NOT submit blueprints. Drawing must indicate:
  - a. Which direction is North.
  - b. Location of the main street in relation to the licensed premises.
  - c. Label Floor Plan, include Bonded Areas and show Storage areas and Manufacturing areas; Layout must show the entrances, exits, and storage areas.
  - d. List Total Square Footage, including Patio, if applicable (example: 2,500 square feet or 2,000 +500 patio =2,500).
  - e. Show any and all Patios and/or Outside Areas, indicate how they are permanently enclosed to prevent alcohol from leaving the premises. Label the type of enclosure used and the height, include photos. (example: 6 foot adobe wall with 5 foot wood gate).
4. **Photos** – include Interior of premises, manufacturing/location/storage of alcohol, and Exterior /patio and fencing, if applicable.

**Only if proposed premises is between 300 and 400 feet of the nearest church or school, you will need:**

5. **Surveyor’s Certificate** – A certified copy of the Surveyor’s Certificate (Plat), showing the measurement from the nearest point of the proposed premises to the nearest point of the church or school property line. All measurements should be taken by shortest direct line.
- or,**
6. **Waiver** – A copy of the approved Waiver from the Local Governing Body, on official letterhead.
  7. **Opinion Letter** – Obtain a Letter, on official letterhead, from the Church or School in question, indicating whether or not they object to the application and/or issuance of a liquor license at the proposed location.

**PAGE 3 - REQUIRED FOR CORPORATIONS/ LIMITED LIABILITY COMPANIES/ PARTNERSHIPS/ TRUSTS**

Full disclosure totaling 100% is required. Each individual, Principal Officer, Director, Member, Manager, Partner and each Shareholder holding 10% or more, applying for license must complete the **Personal Data Affidavit form**. **Each individual, Principal Officer, Director, Member, Manager, Partner and each Shareholder who owns a 10% interest or more must be Fingerprinted**. All Owners that run operations, on site Managers, Managing Members and Resident Agents must obtain or maintain a valid Alcohol Server Certification Permit.

**PARTNERSHIP:**

1. **Partnership Agreement** – A complete and fully executed Partnership Agreement.
2. **Certificate of Partnership** – A Certificate of Partnership issued by the Secretary of State’s Office (if applicable).

**CORPORATION**

1. **Certificate of Incorporation** – A copy of the Certificate of Incorporation.
2. **Articles of Incorporation** – A filed copy of the Articles of Incorporation and any amendments thereto.
3. **Certificate of Good Standing** – A copy of the Certificate of Good Standing. The Division will obtain this document from the Public Regulation Commission. The corporation **MUST** be in good standing.
4. **Certificate of Authority** – A copy of the Certificate of Authority for all Foreign Profit Corporations (out-of-state).

**LIMITED LIABILITY COMPANY**

1. **Certificate of Organization** – A copy of the Certificate of Organization.
2. **Articles of Organization** – A filed copy of the Articles of Organization and any amendments thereto.
3. **Operating Agreement** – A complete and fully executed Operating Agreement listing all members and managers including percentages of interest owned by each and any amendments thereto.
4. **Certificate of Registration** – A copy of the Certificate of Registration for all Foreign Profit Companies (out-of-state).

**PAGE 4 – TRUST**

1. **Trust Agreement** – A complete and fully executed Trust Agreement shall be provided for In-Camera Review by the Division. It should not be attached to the application, only brought in by Applicant for review.

## PAGE 5 - DESIGNATED RESIDENT AGENT

1. An Applicant who is not a sole proprietor is required to submit information regarding a New Mexico resident, who is not a felon, to act on behalf of the company and accept service of process for all purposes relating to the sales and service of the alcoholic beverages, including orders and notices of the Director and/or the Division.
2. The Resident Agent form must be completed, signed, and notarized in two places.  
**First Section** – the Appointment section, is to be completed and signed by an officer, director or a shareholder, holding a 10% interest or more, who has been fingerprint qualified. **This signature must be notarized.** In this section, the applicant will list the name of the chosen Resident Agent.  
**Second Section** – the Acceptance section, must be completed and signed by the individual who has been designated as the Resident Agent by the Applicant. **The signature of the Resident Agent must also be notarized.**
3. The individual designated as Resident Agent must complete a Personal Data Affidavit Form. **Note:** All entities must file a new application for Resident Agent each time there is a change in agents.
4. **Each Resident Agent MUST BE:** Fingerprinted; Hold a current Servers Certification Permit, attach a copy to application; an individual, at least 21 years of age, and at time of application; A Resident of the State of New Mexico and remain a resident of New Mexico; Cannot have been convicted of a felony or of two misdemeanor violations of the Liquor Control Act in any calendar year.

## PAGE 6 – PERSONAL DATA AFFIDAVIT:

Submit this page for each individual applicant, each Principal Officer and Director of a Corporation, each Stockholder (individual) owning 10% or more of the stock in Applicant Corporation, each individual Limited or General Partner, and each Resident Agent for a Corporation, and each Manager and Member of LLC with 10% or more interest.

As part of the application, EACH individual, Principal Officer, Director, and Shareholder who owns a 10% interest or more, applying for license must complete the Personal Data Affidavit Form.

All Owners, on site Managers and Resident Agents must obtain or maintain a valid Alcohol Server Certification Permit. Everyone who sells or serves alcohol in the state of New Mexico is required to obtain a permit by taking a New Mexico approved Alcohol Server Education class. This includes all Bartenders, Waiters, Managers, Liquor License Owners, Convenience or Grocery Store Clerks, and the Designated Resident Agent for the License.

## PAGE 7 – SUNDAY SALES:

Sunday Sales by the drink and/or package are only permitted in those local option districts in which Sunday Sales have been approved by the voter. **Sunday Sales by the Drink Fee: \$100.00    Sunday Sales by the Package Fee: \$100.00**

**Please Note:** The Director may require additional information or supporting documentation to complete the application.

## APPLICABLE NEW MEXICO STATE LAWS:

**NMSA §60-6A-22. Definitions. D.** "small brewer" means any person who owns or operates a business for the manufacture of beer but **does not manufacture more than two hundred thousand barrels of beer per year;**

### **NMSA 60-6A-26.1. Small brewer's license.**

A. In a local option district, a person qualified pursuant to the provisions of the Liquor Control Act, except as otherwise provided in the Domestic Winery, Small Brewery and Craft Distillery Act, may apply for and be issued a small brewer's license.

B. A small brewer's license authorizes the person to whom it is issued to:

- (1) manufacture or produce beer;
- (2) package, label and export beer, whether manufactured, bottled or produced by the licensee or any other person;
- (3) sell only beer that is packaged by or for the licensee to a person holding a wholesaler's license or a small brewer's license;
- (4) deal in warehouse receipts for beer;
- (5) conduct beer tastings and sell for consumption on or off premises, but not for resale, beer produced and bottled by, or produced and packaged for, the licensee, beer produced and bottled by or for another New Mexico small brewer on the small brewer's premises or wine produced by a winegrower pursuant to Section 60-6A-11 NMSA 1978;
- (6) be deemed a manufacturer for purposes of the Gross Receipts and Compensating Tax Act;
- (7) at public celebrations off the small brewer's premises, after the small brewer has paid the applicable fee for a small brewer's public celebration permit, conduct tastings and sell by the glass or in unbroken packages, but not for resale, beer produced and bottled by or for the small brewer or wine produced by a winegrower pursuant to Section 60-6A-11 NMSA 1978;
- (8) buy or otherwise obtain wine from a winegrower;
- (9) for the purposes described in this subsection, at no more than three other locations off the small brewer's premises, after the small brewer has paid the applicable fee for a small brewer's off-premises permit, after the director has determined that the off-premises locations meet the requirements of the Liquor Control Act and department rules for new liquor license locations and after the director has issued a small brewer's off-premises permit for each off-premises location, conduct beer tastings and sell by the glass or in unbroken packages for consumption off the small brewer's off-premises location, but not for resale, beer produced and bottled by or for the small brewer, beer produced and bottled by or for another New Mexico small brewer or wine produced by a winegrower pursuant to Section 60-6A-11 NMSA 1978;
- (10) allow members of the public, on the licensed premises and under the direct supervision of the licensee, to manufacture beer for personal consumption and not for resale using the licensee's equipment and ingredients; and
- (11) sell beer in a growler for consumption off premises.

C. At public celebrations off the small brewer's premises in a local option district permitting the sale of alcoholic beverages, the holder of a small brewer's license shall pay ten dollars (\$10.00) to the alcohol and gaming division of the regulation and licensing department for a "small brewer's public celebration permit" to be issued under rules adopted by the director. Upon request, the alcohol and gaming division of the regulation and licensing department may issue to a holder of a small brewer's license a public celebration permit for a location at the public celebration that is to be shared with other small brewers and winegrowers. As used in this subsection, "public celebration" includes a state or county fair, community fiesta, cultural or artistic event, sporting competition of a seasonal nature or activities held on an intermittent basis.

D. Sales and tastings of beer or wine authorized in this section shall be permitted during the hours set forth in Subsection A of Section 60-7A-1 NMSA 1978 and between the hours of noon and midnight on Sunday and shall conform to the limitations regarding Christmas and voting-day sales found in Section 60-7A-1 NMSA 1978 and the expansion of Sunday sales hours to 2:00 a.m. on January 1, when December 31 falls on a Sunday.



AGD USE ONLY: Payment| Application Fee \$ \_\_\_\_\_ Received on: \_\_\_\_\_ Receipt No. \_\_\_\_\_  
License Fee \$ \_\_\_\_\_ Received on: \_\_\_\_\_ Receipt No. \_\_\_\_\_  
Application # \_\_\_\_\_ Local Option District: \_\_\_\_\_

### SMALL BREWER LIQUOR LICENSE APPLICATION

\$200.00 Application Fee, non-refundable.

Check appropriate boxes:

Application is for:  New License  Off-Site Location – 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> Master License No. \_\_\_\_\_

Applicant is:  Individual  Limited Liability Company  Corporation  Partnership (General/Limited)

NAME OF APPLICANT (company or individual) ADDRESS (including city, state, zip) TELEPHONE NUMBER

D/B/A Name to be used: \_\_\_\_\_ Business Phone #: \_\_\_\_\_

Email Address (required): \_\_\_\_\_

Physical location where license is to be used: (Include street number / highway number / state road, city and county, state, and zip code)

Are alcoholic beverages currently being dispensed at the proposed location?  Yes  No If Yes, License # / Type: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Agent/Contact Person: \_\_\_\_\_ Phone#: \_\_\_\_\_ Email: \_\_\_\_\_

I, (print name) \_\_\_\_\_, as (title) \_\_\_\_\_  
being first duly sworn upon oath deposes and says: that he/she is the applicant or is authorized by the applicant to make this application;  
that he/she has read the same; knows the contents therein contained are true. Applicant(s) agree(s) that if any statements or representations  
herein are found to be false, the Director may refuse to issue or renew the license or may cause the license to be revoked at any time.

You must sign and date before a Notary Public.

Signature of Applicant: \_\_\_\_\_ Date: \_\_\_\_\_

NOTARY PUBLIC USE ONLY: (State of \_\_\_\_\_, County of \_\_\_\_\_)

SUBSCRIBED AND SWORN TO before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

By: \_\_\_\_\_ Notary Public: \_\_\_\_\_

SEAL

My Commission Expires: \_\_\_\_\_

FOR LOCAL OPTION DISTRICT USE ONLY: Local Governing Body of: \_\_\_\_\_ City, County, Village

Public Hearing held on \_\_\_\_\_, 20\_\_\_\_. Check one:  Approved  Disapproved

Signature and Title of City/County Official: \_\_\_\_\_

FOR ALCOHOL AND GAMING DIVISION USE ONLY:  Approved  Disapproved

Signed by Director: \_\_\_\_\_ Date: \_\_\_\_\_



**PREMISES LOCATION, OWNERSHIP, AND DESCRIPTION**

NMSA §60-6B-10

1. The land and building which is proposed to be the licensed premises is: <sup>(check one)</sup>

Owned by Applicant, copy of deed/document attached       Leased by Applicant, copy of lease/document attached

Other (provide details): \_\_\_\_\_

2. If the land and building are not owned by Applicant, indicate the following:

A. Owner(s): \_\_\_\_\_

B. Date and Term of Lease: \_\_\_\_\_

3. Premises location is Zoned *(example C-1, see Zoning Statement)*: \_\_\_\_\_,

**Zoning Statement attached**, which must be obtained from the Local Government, listing the proposed location by address, Type of Zone, state whether alcoholic beverages are allowed at proposed location, and if applicable, whether packaged sales, patio service and/or manufacturing is allowable. If there is no zoning in the proposed location, attach Statement from the local government, indicating there is no zoning.

4. Distance\* from nearest Church: *(Property line of church to closest point of licensed premises—shortest distance)*

Name of Church: \_\_\_\_\_ Miles/feet: \_\_\_\_\_

Address/location of Church: \_\_\_\_\_

5. Distance\* from nearest School: *(Property line of school to closest point of licensed premises—shortest distance)*

Name of School \_\_\_\_\_ Miles/feet \_\_\_\_\_

Address/location of School: \_\_\_\_\_

6. Distance from military installation *\*(Property line of military installation to closest point of licensed premises-shortest distance.)*

**Name of Military Installation,** <sup>circle one:</sup> Kirtland Air Force Base (Albuquerque), White Sands Missile Range (Las Cruces), Holloman Air Force Base (Alamogordo), Cannon Air Force Base (Clovis)

7. Attach Detailed Floor Plan, must include the Total Square Footage of premises; List nearest cross street; Show which direction is North; Show each level (floor) where alcoholic beverages will be sold or consumed, exterior walls, doors, and interior walls; Patio Area with type of barrier used; Highlight Bonded Areas. The floor plan should be no larger than 8½ x 11 inches and **must be labeled** with designated areas highlighted, which will reflect the proposed Licensed Premises.

8. Type of Operation:  Hotel       Lounge       Package Grocery       Restaurant       Racetrack

Small Brewer       Craft Distiller       Winery       Wholesaler

Other (specify): \_\_\_\_\_

**\*NOTE:** If the distance is beyond 300 feet, but less than 400 feet, a Registered Engineer or Licensed Surveyor must complete a Survey Certificate showing the exact distance.



**LIMITED LIABILITY COMPANY- NMSA §60-6B-2.A(6)**

1. Name of Limited Liability Company: \_\_\_\_\_

2. Company Formed on: \_\_\_\_\_, with **copy of Operating Agreement attached.**

3. Company Registered on: \_\_\_\_\_, with a **copy of Certificate and Article of Organization attached.**

4. Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ Phone: \_\_\_\_\_

5. **LIST ALL MEMBERS AND MANAGERS;** Names and addresses of all Members – full disclosure is required. If a Member is a Corporation, Trust, Limited Liability Company, General or Limited Partnership, complete the appropriate entity information page.

**List % of Interest/Contribution |Title |Name |Complete Address**

<b>List % of Interest/Contribution</b>	<b> Title</b>	<b> Name</b>	<b> Complete Address</b>

6. Has this LLC ever had a liquor license in which it held any interest in any State suspended or revoked?  No  Yes, if so, provide details: \_\_\_\_\_

7. List every Liquor License in which this LLC owns any interest, direct or indirect:  None  See Attached  As follows: \_\_\_\_\_

1. Has any principal Officer, Director or Shareholder that holds 10% or more of this LLC ever been convicted of a felony?  No  Yes, detailed as follows: \_\_\_\_\_

**NOTE: Each individual Member** must submit a **Personal Data Affidavit form** (page 6). All Members who own 10% or more must submit Fingerprints. All Managing Members must also be Server Certified.





**CORPORATION-** *NMSA §60-6B-2.A(4)*

Name of Corporation: \_\_\_\_\_  
(Attach certified copy of its Certificate of Incorporation and Articles of Incorporation. Foreign Corporations must also include a certified copy of its New Mexico Certificate of Authority.)

Date of Incorporation: \_\_\_\_\_ In what State? \_\_\_\_\_

Mailing Address of Corporate Office: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ Phone: \_\_\_\_\_

Provide full names and addresses of all Officers and Directors of the Corporation, also the names and addresses of all stockholders holding 10% or more in the Corporation. If a stockholder with 10% or more stock is any other legal entity, complete the appropriate disclosure page for the stockholding entity.

**List: % Stock Held | Name and Title of Officers, Directors and Stockholders | Complete Address**


**USE ADDITIONAL PAGES IF NECESSARY.**

Has Corporation ever had a liquor license in which it held any interest in any State suspended or revoked?  No  Yes, if so, provide details: \_\_\_\_\_

List every liquor license in which the Corporation holds any interest, direct or indirect:  None  See Attached  As follows: \_\_\_\_\_

Has any principal Officer, Director or Shareholder that holds 10% or more of this Corporation ever been convicted of a felony?  No  Yes, detailed as follows: \_\_\_\_\_

**NOTE: Each individual Applicant, Partner, Officer, Director and Stockholder of 10% or more of stock in the Corporation must submit a Personal Data Affidavit Form (page 6). All who own 10% or more must submit Fingerprints. All Managing Partners and Directors must also be Server Certified.**



**LIMITED PARTNERSHIP OR GENERAL PARTNERSHIP - NMSA §60-6B-2.A(5)**

1. Name of Limited Partnership or General Partnership: \_\_\_\_\_
2. Date Partnership Formed (**attach copy of Partnership Agreement**): \_\_\_\_\_
3. Date Partnership Registered (**attach copy of Certificate**): \_\_\_\_\_
4. Mailing Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ Phone: \_\_\_\_\_
5. Names and addresses of all General and Limited Partners—full disclosure is required. If General Partner or Limited Partner is a Corporation, LLC, Trust or other General or Limited Partnership, complete the appropriate entity information page.

**GENERAL PARTNERS: LIST % Stock Held |Title |Name |Complete Address**


**LIMITED PARTNERS: LIST % Stock Held |Title |Name |Complete Address**


6. Has this Partnership ever had a liquor license in which it held any interest in any State suspended or revoked?  No  Yes, detailed as follows: \_\_\_\_\_

7. List every liquor license in which this Partnership owns any interest, direct or indirect:  None  See Attached  As follows: \_\_\_\_\_

8. Has any principal Officer, Director or Shareholder that holds 10% or more of this Partnership ever been convicted of a felony?  No  Yes, detailed as follows: \_\_\_\_\_

**NOTE: Each individual General or Limited Partner, must submit a complete Personal Data Affidavit Form (page 6). All who own 10% or more must submit Fingerprints. All Managing Partners, Directors must also be Server Certified.**



**TRUST- NMSA §60-6B-2.A(7)**

1. Name of Trust: \_\_\_\_\_

2. Trust Formed on: \_\_\_\_\_ Phone: \_\_\_\_\_

3. Mailing Address: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

4. Names and addresses of all Trustees and each Beneficiary of the Trust – full disclosure is required, *for each Trustee and for each Beneficiary who has control over Trust property and income or who receives substantial and regular distributions from the Trust.* If a Trustee or Beneficiary is a Corporation, Limited Liability Company or a General or Limited Partnership, complete the appropriate LLC, Corporation or Partnership page(s).

**LIST ALL TRUSTEES AND BENEFICIARIES**

**% of Interest/Contribution | Title | Name | Address**


5. Has this Trust ever had a liquor license in which it held any interest in any State suspended or revoked?  No  Yes, detailed as follows: \_\_\_\_\_

6. List every liquor license in which this Trust owns any interest, direct or indirect:  None  See Attached  As follows: \_\_\_\_\_

7. Has any principal Officer, Director, Trustee or Beneficiary that holds 10% or more of this Trust ever been convicted of a felony?  No  Yes, detailed as follows: \_\_\_\_\_

**NOTE: Each individual Trustee and/or Beneficiary must submit a Personal Data Affidavit Form (Page 6), and must be Fingerprinted.** All Managing Members must also be Server Certified.



AGD USE ONLY: Payment| Application Fee \$ \_\_\_\_\_ Received on: \_\_\_\_\_ Receipt No. \_\_\_\_\_

**DESIGNATION OF RESIDENT AGENT – \$50.00 non-refundable fee**

Name of Corp./LLC/Partnership/Trust (print) \_\_\_\_\_ Liquor License # \_\_\_\_\_

D/B/A Name: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENT that the above-named Company hereby makes, constitutes and **APPOINTS**:

**(Print Appointee's Name)** \_\_\_\_\_, to act as Resident Agent on behalf of the company and accept service of process for all purposes relating to the sales and service of the alcoholic beverages, including orders and notices of the Director and/or the Division. **(Check one)**

**Initial** Resident Agent     **Adding** another Resident Agent     **Replacing** Resident Agent, remove: \_\_\_\_\_

*Appointed and Submitted by an Authorized Officer of Corporation/ LLC/ Partnership/ Trust:*

***Sign in the presence of a Notary Public.***

Signature: \_\_\_\_\_ Title \_\_\_\_\_

**ACKNOWLEDGEMENT BY OFFICER APPOINTING AGENT**

**NOTARY PUBLIC USE ONLY:** (State of \_\_\_\_\_, County of \_\_\_\_\_)

SUBSCRIBED & SWORN TO before me, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

By: \_\_\_\_\_ Notary Public: \_\_\_\_\_ SEAL

My Commission Expires: \_\_\_\_\_

**ACCEPTANCE OF APPOINTMENT BY RESIDENT AGENT**

I, (print name) \_\_\_\_\_, accept the appointment as Resident Agent, and by accepting this appointment hereby Certify that I am a Resident of the State of New Mexico. I am also the Resident Agent for the following New Mexico

Liquor License(s): \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_

Residence Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ Phone # \_\_\_\_\_

Alcohol Server Permit # \_\_\_\_\_ Expires on: \_\_\_\_\_, *Required to Attach Copy*

***Sign in the presence of a Notary Public.***

Signature of Resident Agent: \_\_\_\_\_ Date: \_\_\_\_\_

**ACKNOWLEDGEMENT**

**NOTARY PUBLIC USE ONLY:** (State of \_\_\_\_\_, County of \_\_\_\_\_)

SUBSCRIBED & SWORN TO before me, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

By: \_\_\_\_\_ Notary Public: \_\_\_\_\_ SEAL

My Commission Expires: \_\_\_\_\_

**FOR ALCOHOL AND GAMING DIVISION USE ONLY:** Fingerprints submitted on: \_\_\_\_\_ Cleared on: \_\_\_\_\_

Approved     Disapproved

Signed by Director: \_\_\_\_\_ Date: \_\_\_\_\_



AGD use only | Fingerprints #/Received on: \_\_\_\_\_ Cleared on: \_\_\_\_\_ Server Permit# \_\_\_\_\_ Expires: \_\_\_\_\_

**PERSONAL DATA AFFIDAVIT**

Liquor License # \_\_\_\_\_ or Application # \_\_\_\_\_

**INSTRUCTIONS:** Submit this page for Each Individual Applicant, Each Principal Officer and Director of a Corporation, Each Stockholder (individual) owning 10% or more of the stock in Applicant Corporation, Each individual Limited Liability or General Partner, Each Resident Agent for a Corporation, and Each Manager and Member of LLC with 10% or more interest. Make additional copies of this page if necessary.

*Please print clearly.*

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

SS # \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ Birth Date: \_\_\_\_/\_\_\_\_/\_\_\_\_ Contact #: \_\_\_\_\_

Email Address: \_\_\_\_\_

Business Address: \_\_\_\_\_ Business Phone: \_\_\_\_\_

Residence Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Driver's License, Issued in the State of: \_\_\_\_\_ DL No. \_\_\_\_\_

U.S. Citizenship or  Citizen of: \_\_\_\_\_ Resident Alien # \_\_\_\_\_

Male  Female Are you at least 21 years of age?  Yes  No Are you married?  Yes  No, *If yes,* has your spouse ever been convicted of a felony in any jurisdiction?  Yes  No  *If yes, provide details:* \_\_\_\_\_

**ALIAS:** If you have been known by any other name, list date and reason for other name(s). Attach additional pages if necessary.

Name(s) Used: \_\_\_\_\_ Date(s) of Change: \_\_\_\_\_

Reason for Change (such as Marriage/Divorce/Decree): \_\_\_\_\_

Have you been Convicted of a Felony?  Yes  No *If yes, provide details:* \_\_\_\_\_ and, has the Governor restored your privilege to receive and hold a Liquor License?  Yes, *copy attached*  No  N/A

Have you been convicted of two separate misdemeanor violations of the New Mexico Liquor Control Act in any calendar year?  Yes  No *If yes, provide details:* \_\_\_\_\_

Have you ever had an Application for a Liquor License, in any State, suspended or revoked?  Yes  No *If yes, provide details:* \_\_\_\_\_

**List every Liquor License by number and State in which you directly or indirectly own any interest:** \_\_\_\_\_

*You must sign before a Notary Public, and ALL questions must be answered.*

I, (print name) \_\_\_\_\_ swear that I have answered each question honestly, that the information provided in my responses are true and correct, and understand that if any information contained herein is false or found to be false, the Division may revoke the Liquor License issued under this Application.

Affiant Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Note:** For fingerprint procedures, review information provided on the website.

**NOTARY PUBLIC USE ONLY:** (State of \_\_\_\_\_, County of \_\_\_\_\_)

SUBSCRIBED & SWORN TO before me, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

By: \_\_\_\_\_ Notary Public: \_\_\_\_\_

SEAL

My Commission Expires: \_\_\_\_\_



AGD USE ONLY: Payment| Application Fee(s) \$ \_\_\_\_\_ Received on: \_\_\_\_\_ Receipt No. \_\_\_\_\_

**SUNDAY SALES APPLICATION**

Non-transferable, fees non-refundable, check type of sales applying for:

- Sunday Sales **BY THE DRINK, with \$100 Fee**       Sunday Sales **BY THE PACKAGE, with \$100 Fee**

Liquor License # \_\_\_\_\_ or Application # \_\_\_\_\_

(Print clearly)

1. Name of Applicant: \_\_\_\_\_

2. DBA Name: \_\_\_\_\_

3. Type of Liquor License applied for: \_\_\_\_\_

4. Physical location of licensed premises: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

5. Mailing address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

6. Local Option District (where license is located, *agency that issued your zoning statement*): \_\_\_\_\_

7. County where license is located: \_\_\_\_\_

Sunday Sales by the drink and/or package are only permitted in those local option districts in which Sunday Sales have been approved by the voter.

**Holder of a Dispenser Type License that allows sales of alcoholic beverages BY THE DRINK**, are allowed to serve between the hours of 7:00 a.m. to midnight, and may obtain a permit for the sale, service, or consumption of alcoholic beverages by the drink on a licensed premises on **Sundays, between the hours of 11:00 a.m. to midnight.**

**Holder of a Dispenser Type License that allows sales BY THE PACKAGE** of alcoholic beverages for off-premises consumption are allowed to sell between the hours of 7:00 a.m. to midnight, and may obtain a permit for the sale of alcoholic beverages in unbroken packages for off-premises consumption on **Sundays, between the hours of 11:00 a.m. to midnight.**

**NOTE: Restaurant License Holders are only allowed sales of alcoholic beverages of beer and/or wine BY THE DRINK**, between the hours of 11:00 a.m. to 11:00 p.m. or until sales and service of food ceases, whichever is earlier, may obtain a permit for the sale of alcoholic beverages by the drink on licensed premises on **Sundays, between the hours of 11:00 a.m. to 11:00 p.m.** or until sales and service of food ceases, whichever is earlier.

Applicant/Licensee Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Submit with appropriate fees to: Alcohol and Gaming Division, PO Box 25101, Santa Fe, NM 87504-5101

**FOR ALCOHOL AND GAMING DIVISION USE ONLY:**     Approved                       Disapproved

Signed by Director: \_\_\_\_\_ Date: \_\_\_\_\_

**SMALL BREWER APPLICATION CHECKLIST**  
**(OFF-SITE, 1<sup>ST</sup>, 2<sup>ND</sup>, 3<sup>RD</sup> LOCATION – Master License No. \_\_\_\_\_)**

Date Received: \_\_\_\_\_ Application Number: \_\_\_\_\_ |Final: Assigned License No. \_\_\_\_\_  
 Hearing: \_\_\_\_\_ LOD: \_\_\_\_\_ Sent to LOD: \_\_\_\_\_  
 Applicant Name: \_\_\_\_\_ DBA Name: \_\_\_\_\_  
 Proposed Location Address: \_\_\_\_\_  
 Mailing Address: \_\_\_\_\_  
 Contact Person/Agent: \_\_\_\_\_ Ph: \_\_\_\_\_ Email: \_\_\_\_\_

**PAGE 1 COMPLETED & SUBMITTED?** \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Application fee submitted? \_\_\_ Yes \_\_\_ No Amount paid \$ \_\_\_\_\_ Comment: \_\_\_\_\_  
 Production Plan & Affidavit stating that Applicant will be brewing beer On-Site? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Tax Registration Certificate, in Applicant's name? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Federal Brewers Notice, in Applicant's name? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Approved Beer Labels, in Applicant's name? \_\_\_ Yes \_\_\_ No \_\_\_ N/A Comment: \_\_\_\_\_  
 Photos of the Manufacturing Equipment? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Has 3 to 5 Barrel Brewing System? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Initial License Fee, to be submitted at Final, (SB \$750 /Offsite \$200) Paid \$ \_\_\_\_\_ on: \_\_\_\_\_

**PAGE 2 PREMISES, LOCATION?** \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Lease or Deed for the premises, in Applicant's name? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Zoning Statement, allowing service/manufacturing? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Floor Plan? \_\_\_ Yes \_\_\_ No Total Square Footage for the premises? \_\_\_\_\_ Comment: \_\_\_\_\_  
 Are Bonded Areas Designated? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Is there a Patio? \_\_\_ Yes \_\_\_ No Enclosed by 3ft Barrier /Description? \_\_\_\_\_ Contiguous \_\_\_\_\_  
 Photos: Interior and Exterior, to include Manufacturing Area, Prep Area and Patio, if applicable? \_\_\_ Yes \_\_\_ No  
 POSTING CERTIFICATE: To Agent: \_\_\_\_\_ Posted On: \_\_\_\_\_ Expires at Midnight on: \_\_\_\_\_  
 Is a Surveyor's Certificate required? \_\_\_ Yes \_\_\_ No Has it been submitted? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Is a Waiver required? \_\_\_ Yes \_\_\_ No Has an approved Waiver been submitted? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_

**PAGE 3A LIMITED LIABILITY COMPANY?** \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Certificate of Organization? \_\_\_ Yes \_\_\_ No Articles of Organization? \_\_\_ Yes \_\_\_ No Operating Agreement? \_\_\_ Yes \_\_\_ No  
 Certificate of Registration (for Out-of-State LLC)? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_

**PAGE 3B CORPORATION?** \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Certificate of Incorporation? \_\_\_ Yes \_\_\_ No Articles of Incorporation? \_\_\_ Yes \_\_\_ No Certificate of Good Standing? \_\_\_ Yes \_\_\_ No  
 Certificate of Authority (for Out-of-State Corporation)? \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_

**PAGE 3C PARTNERSHIP?** \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Is the Applicant a \_\_\_ General Partnership or \_\_\_ Limited Partnership? Comment: \_\_\_\_\_  
 Fully executed Partnership Agreement? \_\_\_ Yes \_\_\_ No Registered with Secretary of State's Office? \_\_\_ Yes \_\_\_ No

**PAGE 5 RESIDENT AGENT, for Corporation, LLC, Partnership or Trust?** \_\_\_ Yes \_\_\_ No \$50.00 Fee paid? \_\_\_ Yes \_\_\_ No  
 Name: \_\_\_\_\_ Permit # \_\_\_\_\_ Expires: \_\_\_\_\_  
 Comment: \_\_\_\_\_

**PAGE 6 PERSONAL DATA AFFIDAVIT submitted FOR EACH PERSON REQUIRING DISCLOSURE?** \_\_\_ Yes \_\_\_ No  
 Comment: \_\_\_\_\_

%	Title   Name	SS#	FPs Submitted / Cleared On:	Permit # / Expires

**PAGE 7 SUNDAY SALES APPLICATION?** \_\_\_ Yes \_\_\_ No Comment: \_\_\_\_\_  
 Sunday Sales by Drink allowed in LOD? \_\_\_ Yes \_\_\_ No \$100 Fee paid? \_\_\_ Yes \_\_\_ No  
 Sunday Sales by Package allowed in LOD? \_\_\_ Yes \_\_\_ No \$100 Fee paid? \_\_\_ Yes \_\_\_ No